

Commonwealth Finance South Africa (Pty) Ltd

Disclosures concerning Commonwealth Finance South Africa (Pty) Ltd as required by FAIS

In terms of the Financial Advisory and Intermediary Services Act (FAIS) (Act No. 37 of 2002) the following information must be disclosed to clients and potential clients:

Full Name: Commonwealth Finance South Africa (Pty) Ltd t/a GreenFin Financial Services

Company Registration number: 2012/154097/07

Physical address: Unit C9, Century Square, Heron Crescent, Century City, 7441

Postal Address: Postnet Suite 101, Private Bag X3, Roggebaai, 8012

Telephone: 086 1000 808

Fax: 0866 691 360

Email: info@greenfin.co.za

Website: <https://greenfin.co.za/>

Commonwealth Finance South Africa (Pty) Ltd accepts responsibility for those activities performed by the Representatives that falls within the scope of, or in the course of implementing, any such aforementioned agreement.

Hanno Van Aarde is the Key Individual of Commonwealth Finance South Africa (Pty) Ltd which holds a category I **FSP licence no. 46701** and is authorised to provide financial services (advice and intermediary services) with respect to the following product categories:

Category Description	Advice	Intermediary
CATEGORY 1		
Long-Term Insurance subcategory A	x	x
Short-Term Insurance Personal Lines	x	x
Long-Term Insurance subcategory B1	x	x
Long-Term Insurance subcategory B2	x	x
Long-Term Insurance subcategory B2-A	x	x
Long-Term Insurance subcategory B1-A	x	x
Short-Term Insurance Personal Lines A1	x	x

Neither Commonwealth Finance South Africa (Pty) Ltd or its representatives owns more than 10 % of issued shares directly or indirectly of any Life Assurer or Financial Product Supplier. Commonwealth Finance South Africa (Pty) Ltd is not an associated company of any Life Assurer or Product Supplier.

Commonwealth Finance South Africa (Pty) Ltd earned more than 30 % of their total commission from one Product Supplier within the past 12 months.

Commonwealth Finance South Africa (Pty) Ltd and its representatives earn their income from Commissions and/or Fees in respect of financial services rendered to you. These Commissions and/or Fees will be disclosed to you.

From time to time we may receive immaterial financial interests from Product Suppliers or Financial Services Providers. A gift register and a Conflict of Interest Management Policy are available upon request.

The FSP does hold Professional Indemnity Insurance and Fidelity cover. Commonwealth Finance South Africa (Pty) Ltd has adopted an Internal Complaints Resolution Policy. Should a complaint arise a copy of our Complaints Policy and Procedure is available upon request.

Commonwealth Finance South Africa (Pty) Ltd is aware that no FSP may request or induce in any manner a client to waive any right or benefit conferred on a client in terms of the General Code of Conduct for Financial Service Providers and Representatives.

All information obtained or acquired from or about you shall remain confidential unless you provide written consent, or unless Commonwealth Finance South Africa (Pty) Ltd is required by law to disclose such information.

Moonstone Compliance (Pty) Ltd is the businesses compliance officer. Moonstone Compliance can be contacted at:
Physical address: 25 Quantum Street, Technopark, Stellenbosch, 7600, Tel No: (021) 8838000.